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Application Data Sheet 37 CFR 1				Attorney Docket Number			128.10	08	
Appli	CallOII Dala	Sileet 37 C	rk i.	Application	Numbe	er			
Title of	f Invention M	ethod for the hu	manizatio	on of antibodies ar	nd huma	ınized an	tibodies t	thereby obtained	
The app	lication data sheet	s part of the provis	sional or n	onprovisional applic	ation for	which it is	being sub	mitted. The following form contains	the
This do		npleted electronic	ally and s	submitted to the Offi				utlined in 37 CFR 1.76. g the Electronic Filing System (EFS	6) or the
Secre	ecy Order 3	37 CFR 5.2	2						
				with this Applica	tion Da	ta Sheet	t may fa	Il under a Secrecy Order pur	suant to
								not be filed electronically.)	
Appli	cant Inforn	nation:							
Applic	ant 1							Remove	
	ant Authority	Inventor)Legal R	Representative und	der 35 l	J.S.C. 11	7	Party of Interest under 35 U.S.	.C. 118
Prefix		•		Middle Name			Family	y Name	Suffix
	Antonino						Cattan	eo	
Resid	ence Informati	on (Select On	ne) 🔘 l	JS Residency	No	n US Re	sidency	Active US Military Service	;
City	Pomezia (RM)		Cou	ıntry Of Reside	ncei	ΙΤ			
Citizer	nship under 37	CFR 1.41(b) i	IT						
Mailin	g Address of A	pplicant:	•						
Addre	ss 1	c/o Lay Line	Genomi	cs S.p.A.					
Addre	ss 2	Via di Caste	l Romano	o, 100					
City	Pomezia (F	RM)			Stat	e/Provir	псе		
Postal	Code	00040		Co	untryi	IT			
Applic				'				Remove	
Applic	ant Authority	Inventor)Legal R	Representative und	der 35 l	J.S.C. 11	7	Party of Interest under 35 U.S.	.C. 118
Prefix	Given Name			Middle Name			Family	y Name	Suffix
	Sonia						Covace		
Resid	ence Informati	on (Select On	$\stackrel{\cdot}{-}$			n US Re	sidency	Active US Military Service	;
City	Pomezia (RM)		Cou	ıntry Of Reside	ncei	IT			
Citizer	nship under 37	CFR 1.41(b) i	IT						
Mailin	g Address of A	pplicant:							
Addre	ss 1	c/o Lay Line	Genomi	cs S.p.A.					
Addre	ss 2	Via di Caste	l Romano	o, 100					
City		38.43			Stat	e/Provir	nce		
	Pomezia (F	KIVI)			•				
Postal	,	00040		Co	untry ⁱ	IT			
	Code	<u>,</u>		Со	untry ⁱ	IT		Remove	
Applic	Code	00040)Legal R	Con Representative und	<u>-</u>		7 (Remove Party of Interest under 35 U.S.	C. 118
Applic	ant 3	00040		I	<u>-</u>				C. 118
Applic	ant 3	00040		Representative und	<u>-</u>			Party of Interest under 35 U.S. y Name	1
Applic Applic Prefix	cant 3 cant Authority Given Name	00040 Inventor		Representative und	- der 35 l		Family Lamba	Party of Interest under 35 U.S. y Name	Suffix

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		•				•	•								
Application Data Sheet 37 CFR 1.76			1.76	Attorney Docket Number		128.1008									
1			App	Application Number											
Title of In\	Title of Invention Method for the humanization of antibodies and humanized antibodies thereby obtained														
Citizensh	ip under	37 CF	R 1.41(b) i	IT											
Mailing A	ddress o	f Appli	cant:												
Address	1	C	c/o Lay Line Ger	nomics	S.p.A.										
Address	2	\	/ia di Castel Ro	mano, 1	100										
City	Pomezia	a (RM)					State	e/Provin	ice						
Postal Co	de	C	00040			Cou	untryi	IT							
			isted - Addition by selecting the				nation	blocks r	may be				Add]	
Corresp	onder	nce Ir	nformatio	n:											
			umber or con see 37 CFR 1.3	•	the Co	orrespo	ndenc	e Inform	nation s	ect	ion belo	W.			
☐ An A	ddress is	s being	provided for	the co	orresp	onden	ce Info	rmation	of this	apı	olication				
Custome	r Number	r	20311												
Email Ad	dress		info@lmiplaw	.com							Add Em	ail		Remov	e Email
Applica	tion In	form	ation:												
Title of th	e Inventi	on	Method for th	e huma	ınizatio	on of antil	bodies a	and huma	ınized an	tibo	dies there	by o	btain	ed	
Attorney	Docket N	lumber	r 128.1008				Sı	mall Ent	ity Statı	us (Claimed	×	<u>{</u>		
Application	on Type		Nonprovision	al											
Subject N	latter		Utility												
Suggeste	d Class ((if any)					Sı	ub Class	s (if any)					
Suggeste	d Techno	ology (Center (if any))											
Total Nur	nber of D	rawing	Sheets (if ar	ıy)			Si	uggeste	d Figure	e fo	or Public	atio	n (if	any)	
Publica	ation li	nforn	nation:				•								
Requ	est Early	Publica	ation (Fee requ	uired a	t time	of Requ	est 37	CFR 1.2	19)						
Request Not to Publish. I hereby request that the attached application not be published under 35 U.S. C. 122(b) and certify that the invention disclosed in the attached application has not and will not be the subject of an application filed in another country, or under a multilateral international agreement, that requires publication at eighteen months after filing.															
Representative Information:															
Representative information should be provided for all practitioners having a power of attorney in the application. Providing this information in the Application Data Sheet does not constitute a power of attorney in the application (see 37 CFR 1.32). Enter either Customer Number or complete the Representative Name section below. If both sections are completed the Customer Number will be used for the Representative Information during processing.															
Please Se	elect One:		Customer	Numbei	r (USF	atent P	ractitione	r 🔘	L	imited Re	cogn	ition	(37 CFI	R 11.9)

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	· · · · · · · · · · · · · · · · · · ·	<u> </u>	
Application Da	nta Sheet 37 CFR 1.76	Attorney Docket Number	128.1008
Application Da	ita Sileet 37 Ci K 1.70	Application Number	
Title of Invention	Method for the humanization	of antibodies and humanized an	tibodies thereby obtained
Customer Number	20311		

Domestic Benefit/National Stage Information:

This section allows for the applicant to either claim benefit under 35 U.S.C. 119(e), 120, 121, or 365(c) or indicate National Stage entry from a PCT application. Providing this information in the application data sheet constitutes the specific reference required by 35 U.S.C. 119(e) or 120, and 37 CFR 1.78(a)(2) or CFR 1.78(a)(4), and need not otherwise be made part of the specification.

Prior Application Status			Remove				
Application Number	Continuity Type	Prior Application Number	Filing Date (YYYY-MM-DD)				
10583618	a 371 of international	PCT/IT2004/000722	2004-12-23				
Additional Domestic Benefit/National Stage Data may be generated within this form by selecting the Add button.							

Foreign Priority Information:

This section allows for the applicant to claim benefit of foreign priority and to identify any prior foreign application for which priority is not claimed. Providing this information in the application data sheet constitutes the claim for priority as required by 35 U.S.C. 119(b) and 37 CFR 1.55(a).

and or or removed.		Re	move				
Application Number	Country i	Parent Filing Date (YYYY-MM-DD)	Priority Claimed				
RM2003000601	IT	2003-12-24	● Yes ○ No				
Additional Foreign Priority Data may be generated within this form by selecting the Add button.							

Assignee Information:

	in the application data sheet d signment recorded in the Office		rith any requirement of part 3 of Title 37					
Assignee 1			Remove					
If the Assignee is an O	rganization check here.	X						
Organization Name Lay Line Genomics S.p.A.								
Mailing Address Information:								
Address 1	Via di Castel Romano, 1	00						
Address 2								
City	Pomezia (RM)	State/Province						
Country IT	•	Postal Code	00040					
Phone Number		Fax Number						
Email Address		-						
Assignee 2								
If the Assignee is an O	If the Assignee is an Organization check here.							
Organization Name Scuola Internazionale Superiore di Studi Avanzati								

Add

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Application Data Sheet 37 CFR 1.76			Attorney Docket Number	128.1008			
			Application Number				
Title of Invention	Method	d for the humanization	of antibodies and humanized	antibodies thereby obtained			
Mailing Address Information:							
Address 1 Via Beirut 2-4							
Address 2							
City Trieste		Trieste	State/Pro	vince			
Country i IT		•	Postal Co	de 34014			
Phone Number		Fax Numb	er				
Email Address		,	·				
Additional Assignee Data may be generated within this form by selecting the Add							

Signature:

button.

A signature of the applicant or representative is required in accordance with 37 CFR 1.33 and 10.18. Please see 37 CFR 1.4(d) for the form of the signature.										
Signature	/Michael N. Mercanti/		Date (YYYY-MM-DD)	2011-01-28						
First Name	Michael N.	Last Name	Registration Number	33966						

This collection of information is required by 37 CFR 1.76. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 23 minutes to complete, including gathering, preparing, and submitting the completed application data sheet form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. **SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.**

Privacy Act Statement

The Privacy Act of 1974 (P.L. 93-579) requires that you be given certain information in connection with your submission of the attached form related to a patent application or patent. Accordingly, pursuant to the requirements of the Act, please be advised that: (1) the general authority for the collection of this information is 35 U.S.C. 2(b)(2); (2) furnishing of the information solicited is voluntary; and (3) the principal purpose for which the information is used by the U.S. Patent and Trademark Office is to process and/or examine your submission related to a patent application or patent. If you do not furnish the requested information, the U.S. Patent and Trademark Office may not be able to process and/or examine your submission, which may result in termination of proceedings or abandonment of the application or expiration of the patent.

The information provided by you in this form will be subject to the following routine uses:

- The information on this form will be treated confidentially to the extent allowed under the Freedom of Information Act (5 U.S.C. 552) and the Privacy Act (5 U.S.C. 552a). Records from this system of records may be disclosed to the Department of Justice to determine whether the Freedom of Information Act requires disclosure of these records.
- 2. A record from this system of records may be disclosed, as a routine use, in the course of presenting evidence to a court, magistrate, or administrative tribunal, including disclosures to opposing counsel in the course of settlement negotiations.
- 3. A record in this system of records may be disclosed, as a routine use, to a Member of Congress submitting a request involving an individual, to whom the record pertains, when the individual has requested assistance from the Member with respect to the subject matter of the record.
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- 6. A record in this system of records may be disclosed, as a routine use, to another federal agency for purposes of National Security review (35 U.S.C. 181) and for review pursuant to the Atomic Energy Act (42 U.S.C. 218(c)).
- 7. A record from this system of records may be disclosed, as a routine use, to the Administrator, General Services, or his/her designee, during an inspection of records conducted by GSA as part of that agency's responsibility to recommend improvements in records management practices and programs, under authority of 44 U.S.C. 2904 and 2906. Such disclosure shall be made in accordance with the GSA regulations governing inspection of records for this purpose, and any other relevant (i.e., GSA or Commerce) directive. Such disclosure shall not be used to make determinations about individuals.
- A record from this system of records may be disclosed, as a routine use, to the public after either publication of the application pursuant to 35 U.S.C. 122(b) or issuance of a patent pursuant to 35 U.S.C. 151. Further, a record may be disclosed, subject to the limitations of 37 CFR 1.14, as a routine use, to the public if the record was filed in an application which became abandoned or in which the proceedings were terminated and which application is referenced by either a published application, an application open to public inspections or an issued patent.
- 9. A record from this system of records may be disclosed, as a routine use, to a Federal, State, or local law enforcement agency, if the USPTO becomes aware of a violation or potential violation of law or regulation.